

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Terrence SICK et al. **GROUP:** 3693
APPLICATION: 09/965,071 **EXAMINER:** S. Chandler
FILED: September 27, 2001 **CONFIRMATION:** 2718
**FOR: COMPUTER-BASED SYSTEM AND METHOD FOR SEARCHING AND
SCREENING FINANCIAL SECURITIES AND RELEVANT INTELLECTUAL
PROPERTY**

Mailstop: Amendment

Honorable Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

RESPONSE UNDER 37 CFR 1.111

AMENDMENT

In response to the Office Action dated September 18, 2006 please amend the above-identified application as follows:

Amendments to the Claims are found beginning at page 2, where the Listing of Claims to replace all prior versions and listings is found.

Remarks begin at page 7.

In the event that additional fees are required as a result of this response, including fees for extensions of time, such fees should be charged to USPTO Deposit Account No. 50-2737 for Basch & Nickerson LLP.